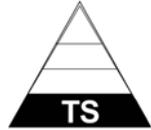


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DOE-STD-XXXX-YEAR
PROPOSED

DOE STANDARD

CRITICALITY SAFETY FUNCTIONAL AREA QUALIFICATION STANDARD

DOE Defense Nuclear Facilities Technical Personnel



U.S. Department of Energy
Washington, D.C. 20585

AREA TRNG

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APPROVAL

The Federal Technical Capability Panel consists of senior Department of Energy managers responsible for overseeing the Federal Technical Capability Program. This Panel is responsible for reviewing and approving the Qualification Standard for Department-wide application. Approval of this Qualification Standard by the Federal Technical Capability Panel is indicated by signature below.

Roy J. Schepens
Chairman
Federal Technical Capability Panel

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Jerry McKamy	DOE EH (Team Lead)
Adolf Garcia	DOE ID
Gypsy Tweed	Consultant
Tom McLaughlin	LANL
Tom Reilly	WSMS
Jim Morman	ANL
Calvin Hopper	ORNL
Mike Westfall	ORNL
Jim Mincey	ORNL
Robert Wilson	DOE RFFO

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U.S. DEPARTMENT OF ENERGY FUNCTIONAL AREA QUALIFICATION STANDARD

FUNCTIONAL AREA

Criticality Safety

PURPOSE

The U.S. Department of Energy (DOE) *Federal Technical Capability for Defense Nuclear Facilities*, Policy 426.1, issued by the Secretary of Energy in December 1998, commits the Department to continuously strive for technical excellence. The Technical Qualification Program, along with the supporting technical Functional Area Qualification Standards, complements the personnel processes that support the DOE's drive for technical excellence. In support of this goal, the competency requirements defined in the Technical Qualification Standards should be aligned with and integrated into the recruitment and staffing processes for technical positions. The Technical Qualification Standards should form, in part, the primary basis for developing vacancy announcements, qualification requirements, crediting plans, interviewing questions, and other criteria associated with the recruitment, selection, and internal placement of technical personnel. The U.S. Office of Personnel Management (OPM) minimum qualification standards will be greatly enhanced by the application of appropriate materials from the Functional Area Qualification Standards.

The Technical Qualification Standards are not intended to replace the U.S. Office of Personnel Management's (OPM) Qualifications Standards nor other Departmental personnel standards, rules, plans, or processes. The primary purpose of the Technical Qualification Program is to verify that employees have the requisite technical competency to support the mission of the Department. The Technical Qualification Program forms the basis for the development and assignment of personnel responsible for ensuring the safe operation of defense nuclear facilities.

APPLICABILITY

The Criticality Safety Functional Area Qualification Standard establishes common functional area competency requirements for Department of Energy criticality safety personnel who provide assistance, direction, guidance, oversight, or evaluation of contractor technical activities impacting the safe operation of defense nuclear facilities. The Technical Qualification Standard has been developed as a tool to assist program and field offices in the development and implementation of the Technical Qualification Program in their organization. Satisfactory and documented attainment of the competency requirements contained in this Technical Qualification Standard ensures that criticality safety personnel possess the requisite competence to fulfill their functional area duties and responsibilities. Office/Facility-Specific Qualification Standards supplement this Functional Area Qualification Standard and establish unique operational competency requirements at the Headquarters or Field element, site, or facility level.

IMPLEMENTATION

This Technical Qualification Standard identifies the technical competency requirements for criticality safety personnel. Although there are other competency requirements associated with the positions held by criticality safety personnel, this Technical Qualification Standard is limited to identifying the specific technical competencies. The competency statements define the expected knowledge and/or skill that an individual must meet. Each of the competency statements is further explained by a listing of supporting knowledge and/or skill statements. **The supporting knowledge and/or skill statements are not requirements and do not necessarily have to be fulfilled to meet the intent of the competency.**

The competencies identify a familiarity level, a working level, or an expert level of knowledge; or they require the individual to demonstrate the ability to perform a task or activity. These levels are defined as follows:

Familiarity level is defined as basic knowledge of or exposure to the subject or process adequate to discuss the subject or process with individuals of greater knowledge.

Working level is defined as the knowledge required to monitor and assess operations/activities, to apply standards of acceptable performance, and to reference appropriate materials and/or expert advice as required to ensure the safety of Departmental activities.

Expert level is defined as a comprehensive, intensive knowledge of the subject or process sufficient to provide advice in the absence of procedural guidance.

Demonstrate the ability is defined as the actual performance of a task or activity in accordance with policy, procedures, guidelines, and/or accepted industry or Department practices.

Headquarters and Field elements shall establish a program and process to ensure that criticality safety personnel possess the competencies required of their position. That includes the competencies identified in this Technical Qualification Standard or a similar Standard developed by the organization. Documentation of the completion of the requirements of Technical Qualification Standards shall be included in the employee's training and qualification record.

Equivalencies may be granted for individual competencies based upon an objective evaluation with corresponding evidence of the employee's prior advanced education, experience, certification, and/or training. Equivalencies should be used sparingly and then with the utmost rigor and scrutiny to maintain the spirit and intent of the Technical Qualification Program. The supporting knowledge and/or skill statements for the individual competencies should be considered before granting equivalency for a competency. Prior experience within the last 5 years or training that had some form of examination process may be evaluated and documented to demonstrate equivalency to the specified competencies. Completion of a professional certification such as a Professional Engineering license related directly to the functional area may be evaluated and documented to demonstrate equivalency for many of the competencies in a functional area, with the exception of DOE-specific processes and requirements.

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Satisfactory completion of graduate level college courses that relate directly to specific competencies may be considered equivalent.

Training shall be provided to employees in the Technical Qualification Program that do not meet the competencies contained in the Technical Qualification Standard. Departmental training will be based upon appropriate supporting knowledge and/or skill statements similar to the ones listed for each of the competency statements. Headquarters and Field elements should use the supporting knowledge and/or skill statements as a basis for evaluating the content of any training courses used to provide individuals with the requisite knowledge and/or skill required to meet the Technical Qualification Standard competency statements.

EVALUATION REQUIREMENTS

Attainment of the individual competencies listed in this technical Functional Area Qualification Standard should be evaluated and documented by a qualifying official or the immediate supervisor (if technically qualified) using one or a combination of the following methods:

- Satisfactory completion of a written examination
- Satisfactory completion of an oral evaluation
- Satisfactory accomplishment of an observed task or activity directly related to a competency
- Documented evaluation of equivalencies

Field Element Managers shall qualify candidates as possessing technical knowledge of the complete set of disciplines and competencies contained in this Functional Area Qualification Standard. Each final qualification should be tailored to place emphasis on competencies of primary interest to the individual sites and/or specific facilities. Final qualification under this Functional Area Qualification Standard should be performed using one or a combination of the following methods:

- Satisfactory completion of a comprehensive written examination. The minimum passing grade should be 80%.
- Satisfactory completion of an oral examination by a qualified Senior Technical Safety Manager (STSM) or a qualification board of technically qualified personnel to include at least one qualified STSM.
- Satisfactory completion of a walkthrough of a facility with a qualifying official for the purpose of verifying a candidate's knowledge and practical skills of selected key elements, including safety systems, structures, components, and system operating principles of the systems associated with a specific technical area.

For oral examinations and walkthroughs, qualifying officials or board members should ask critical questions intended to integrate identified learning objectives during qualification. Field Element Managers or designees should develop formal guidance for oral examinations and walkthroughs that includes: the standards for qualification; the use of technical advisors by a board; the questioning procedures or protocol; pass/fail criteria; the board deliberation and voting authorization procedures; and the documentation process. A board or qualifying official may conduct the oral interview as a group or individually. The board should document explicitly any questions and answers that result in an oral examination failure. Field Element Managers or their designees may require the candidates who fail a written or oral examination to complete a special study program designed to strengthen weaknesses revealed in the examination. Field

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Element Managers or their designees may direct candidate reexaminations to verify the effectiveness of actions taken to correct weak areas.

CONTINUING TRAINING

Criticality safety personnel shall participate in continuing training as necessary to improve their performance and ensure that they stay up-to-date on changing technology and new requirements. This may include courses and/or training provided by:

- Department of Energy
- Other government agencies
- Outside vendors
- Educational institutions

A description of the continuing training program for criticality safety personnel, including suggested learning and proficiency activities, is included in Appendix A of this document.

DUTIES AND RESPONSIBILITIES

The following are the typical duties and responsibilities expected of defense nuclear facility technical personnel assigned to the Criticality Safety Functional Area:

- A. Evaluates criticality safety programs to determine whether the program complies with applicable codes, standards and guides, regulations, Orders and accepted practices.
- B. Appraises facilities, procedures, and operations to determine their adequacy to protect the worker and members of the general public from the consequences of a nuclear criticality accident.
- C. Administers and coordinates criticality safety programs for the Department, including performing independent evaluations and special studies.
- D. Provides technical assistance and advice in the area of criticality safety to other organizations and independent review groups.
- E. Reviews Office and/or contractor performance to identify trends indicative of performance or compliance status.
- F. Performs technical reviews and provides recommendations on Criticality Safety Program documents (plans, schedules, etc).
- G. Reviews and comments on a wide variety of operating contractor documents such as authorization basis documents.
- H. Evaluates, oversees, and provides emergency preparedness and emergency response support related to criticality safety incidents in conjunction with contractor, Federal, State and local officials, as required.

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- I. Develops, reviews and implements criticality safety policy, requirements and guidance.

Position-specific duties and responsibilities for criticality safety personnel are contained in their Office/Facility-Specific Qualification Standard or Position Description.

BACKGROUND AND EXPERIENCE

The U. S. Office of Personnel Management's Qualification Standards Handbook establishes minimum education, training, experience, or other relevant requirements applicable to a particular occupational series/grade level, as well as alternatives to meeting specified requirements.

The preferred education and experience for criticality safety personnel is:

1. Education:

Bachelor of Science degree in Nuclear Engineering from an accredited institution or meet the alternative requirements specified in the Qualification Standards Handbook for the GS-0800, Professional Engineering Series

2. Experience:

Industry, facility, operations, other Federal related experience and/or Professional Engineer license that has demonstrated background in nuclear criticality safety.

REQUIRED TECHNICAL COMPETENCIES

Each of the competency statements defines the level of expected knowledge and/or skill that an individual must possess to meet the intent of this Technical Qualification Standard. **The supporting knowledge and/or skill statements further describe the intent of the competency statements but are not requirements.**

Note: When regulations or Department of Energy directives or other industry standards are referenced in the Qualification Standard, the most recent revision should be used.

1. **Criticality safety personnel shall demonstrate a working level knowledge of the fission process.**

Supporting Knowledge and/or Skills

- a. Define the following terms:
 - Excitation energy
 - Cross Section
 - Fissile material
 - Fissionable material
 - Fertile material

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- b. Sketch the fission cross section for both U-235 and Pu-239 as a function of neutron energy. Label each significant energy region and explain the implications of the shape of the curves for criticality safety.
 - c. Explain why only the heaviest nuclei are easily fissioned.
 - d. Explain why uranium-235 fissions with thermal neutrons and uranium-238 fissions only with fast neutrons.
 - e. Characterize the fission products in terms of mass groupings and radioactivity.
 - f. Define sub-critical, critical, super-critical, nu, and beta.
- 2. Criticality safety personnel shall demonstrate a working level knowledge of the various types of radiation interaction with matter.**

Supporting Knowledge and/or Skills

- a. Describe the interactions of the following with matter:
 - Alpha particle
 - Beta particle
 - Positron
 - Neutron
 - b. Describe the following ways that gamma radiation interacts with matter:
 - Compton scattering
 - Photoelectric effect
 - Pair production
- 3. Criticality safety personnel shall demonstrate a working level knowledge of criticality control and safety parameters.**

Supporting Knowledge and/or Skills

- a. Discuss the effects and applications of the following factors relevant to criticality safety of operations:
 - Mass
 - Interaction
 - Geometry
 - Moderation
 - Reflection
 - Concentration
 - Volume
 - Neutron absorbers
 - Enrichment

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- b. Discuss the influence of the presence of non-fissionable materials mixed with, or in contact with, fissionable material on nuclear criticality safety.
- c. Discuss the concept of contingencies for checking the validity of criticality safety limits.

4. Criticality safety personnel shall demonstrate a working level knowledge of alarm systems for criticality accidents.

Supporting Knowledge and/or Skills

- a. Define the following terms:
 - Criticality accident
 - Minimum accident of concern
 - Process area
- b. Discuss the general principles associated with the use of criticality alarm systems including the following:
 - Installation
 - Coverage
 - Detection
 - Alarms
 - Dependability
- c. Discuss the requirements for testing the criticality alarm system.

5. Criticality safety personnel shall demonstrate a working level knowledge of neutron absorbers.

Supporting Knowledge and/or Skills

- a. Describe the use of neutron poisons.
- b. Explain the absorption characteristics of the following elements in terms of their cross-sections.
 - cadmium
 - boron
 - chlorine
 - hydrogen
- c. Explain the purpose and use of Raschig Rings as a neutron poison.

6. Criticality safety personnel shall demonstrate a familiarity level of knowledge of terminology used in nuclear safety analysis.

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Supporting Knowledge and/or Skills

- a. Define the following accident related terms:
 - Accident
 - Authorization basis
 - Beyond design basis accident
 - Design basis
 - Design basis accidents
 - Evaluation guidelines

- b. Define the following hazard related terms:
 - Hazard
 - Hazard Classification
 - Hazard Category 1
 - Hazard Category 2
 - Hazard Category 3
 - Hazardous Material

- c. Define the following safety limit related terms:
 - Limiting conditions for operations
 - Limiting control settings
 - Risk
 - Safety analysis
 - Safety basis
 - Safety limits

- d. Differentiate between the following categories of individuals who may be affected by an accident at a Department nuclear facility:
 - Off-site individual
 - On-site individual
 - Public
 - Worker

- e. Differentiate between the function of structures, systems, and components in the following classifications:
 - Safety-class structures, systems, and components
 - Safety-significant structures, systems and components

- f. Differentiate between the function and contents of the following documents:
 - Safety Analysis Report (SAR)
 - Technical Safety Requirements (TSR)

- g. Differentiate between the plant/facility features which have the following designations:

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- Mitigating features
- Preventive features

h. Differentiate between the following types of facilities:

- Nuclear facility
- Non-reactor nuclear facility

7. Criticality safety personnel shall demonstrate a familiarity level knowledge of nuclear accident analysis techniques.

Supporting Knowledge and/or Skills

- a. Identify and discuss essential elements of deterministic and probabilistic risk assessment techniques.
- b. Identify and discuss the methods used to determine and analyze failure modes.
- c. Discuss the methods used in the calculation of criticality safety, source term, environmental transport, and dose assessment activities including commonly used computer models.
- d. Discuss the methods used to identify and categorize the hazards associated with Department nuclear systems.

8. Criticality safety personnel shall demonstrate a familiarity level knowledge of terminology associated with probabilistic risk assessment (PRA) techniques.

Supporting Knowledge and/or Skills

- a. Define the following terms with respect to probabilistic risk assessments:
 - Probability
 - Reliability
 - Availability
 - Unavailability
 - Risk
 - Safety
 - Accident sequence
 - Dominant contributors
 - Minimal cut set
- b. Define the following terms and differentiate between the associated processes:
 - Event tree
 - Fault tree

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9. **Criticality safety personnel shall demonstrate a working level knowledge of calculational methods used in criticality safety evaluations.**

Supporting Knowledge and/or Skills

- a. Identify and discuss the application of several common hand calculation methods (i.e., buckling method, solid angle method, surface density and density analog).
- b. Prepare an example using each one of the hand calculational methods listed above.

10. **Criticality safety personnel shall demonstrate a working level knowledge of critical and subcritical experiments.**

Supporting Knowledge and/or Skills

- a. Describe the types of data derived from critical experiments and its use in criticality safety.
- b. Participate in a criticality experiment demonstration.

11. **Criticality safety personnel shall demonstrate a working level knowledge of computer codes used in criticality safety evaluations.**

Supporting Knowledge and/or Skills

- a. Develop input model for one monte carlo and one deterministic code(i.e., MONK, VIM, SCALE, MCNP, DANTSYS, ANISN).
- b. Describe how cross section data impact Monte Carlo and deterministic codes.
- c. Describe the importance of validation of computer codes and how accomplished.
- d. Describe the methodology supporting Monte Carlo codes and deterministic codes.
- e. Describe advantages and pitfalls of Monte Carlo calculations and deterministic codes.
- f. The diffusion theory model is not strictly valid for treating fissile systems in which neutron absorption, voids, and/or material boundaries are present. In the context of these limitations, identify a fissile system for which a diffusion theory solution would be adequate.

12. **Criticality safety personnel shall demonstrate a working level knowledge of development of criticality safety evaluations.**

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Supporting Knowledge and/or Skills

- a. Prepare three criticality safety evaluations for three different applications selected from those listed in f., g. and i. below.
 - b. Describe development of contingency analysis and controls.
 - c. Describe key personnel in preparation of criticality safety evaluations and determination of process upsets.
 - d. Describe how subcritical margins and limits are determined.
 - e. Describe when validation and bias estimates must be considered.
 - f. Describe considerations when evaluating various fissile processes, including common process upsets:
 - Aqueous
 - Metal
 - Recovery
 - Fabrication/Foundry
 - Mixed Waste
 - g. Describe considerations for evaluating material storage:
 - Pits
 - Waste
 - Fuel elements
 - Solutions
 - Metal parts
 - h. Discuss elements of industry reference material:
 - LA-10860-MS, Critical Dimensions of Systems Containing U235, Pu239, and U233, 1986.
 - TID-7016, The Nuclear Safety Guide, June 1978.
 - BNWL-SA-4868, Anomalies of Criticality.
 - LA-11627-MS, Glossary of Nuclear Criticality Terms.
 - i. Describe elements to consider when preparing a Safety Analysis Report for Packaging (SARP).
- 13. Criticality safety personnel shall demonstrate a working level knowledge of previous criticality accidents and their causal factors.**

Supporting Knowledge and/or Skills

- a. Discuss common precursors to criticality accidents.

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- b. Discuss applicable material from DOE/NCT-04, A Review of Criticality Accidents, March 1989.

14. Criticality safety personnel shall demonstrate a working level knowledge of Department of Energy (DOE) Order 232.1A, Occurrence Reporting and Processing of Operations Information, with respect to its impact on Department nuclear safety.

Supporting Knowledge and/or Skills

- a. State the purpose of DOE Order 232.1A, Occurrence Reporting and Processing of Operations Information.
- b. Define the following terms:
 - Event
 - Condition
 - Facility
 - Notification Report
 - Occurrence Report
 - Reportable Occurrence
- c. Discuss the Department's policy regarding the reporting of occurrences as outlined in DOE Order 232.1A, Occurrence Reporting and Processing of Operations Information.
- d. State the different categories of reportable occurrences and discuss each.
- e. Discuss the categorization, notification, and timeliness requirements associated with the following:
 - Notification Report
 - 10-Day Occurrence Report
 - Final Report
 - Closing out and verifying Occurrence Reports
 - Processing Occurrence Reports which cross the lines of professional responsibility of electrical systems personnel
 - Contractor Occurrence Reporting Procedures
- f. Discuss the general process for preparing and submitting occurrence reports and their follow-up.
- g. Using Attachment 1 to DOE Order 232.1A, Occurrence Reporting and Processing of Operations Information, discuss the role of criticality safety personnel in nuclear safety-related reportable occurrences.
- h. Given an occurrence report, determine the following:
 - The adequacy of the review process used

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- That causes were appropriately defined
 - That corrective actions addressed causes
 - That the lessons learned were appropriate
 - That corrective actions have been completed
- i. Using an occurrence report involving criticality safety activities, identify and discuss the factors contributing to the occurrence.

15. Criticality safety personnel shall demonstrate a working level knowledge of Department of Energy (DOE) Order 5480.21, Unreviewed Safety Questions, with respect to its impact on Department nuclear safety.

Supporting Knowledge and/or Skills

- a. Discuss the reasons for performing an Unreviewed Safety Question determination.
- b. Define the following terms:
- Accident analyses
 - Safety evaluation
 - Technical Safety Requirements
- c. Describe the situations for which a safety evaluation is required to be performed.
- d. Define the conditions for an Unreviewed Safety Question.
- e. Describe the responsibilities of contractors authorized to operate defense nuclear facilities for the performance of safety evaluations.
- f. Describe the actions to be taken by a contractor upon identifying information that indicates a potential inadequacy of previous safety analyses or, a possible reduction in the margin of safety as defined in the Technical Safety Requirements.
- g. Discuss the actions to be taken if it is determined that an Unreviewed Safety Question is involved.
- h. Discuss the following terms as they apply to Unreviewed Safety Questions:
- Margin of Safety
 - Important to Safety
 - Authorization Basis

16. Criticality safety personnel shall demonstrate a working level knowledge of the Technical Safety Requirements as described in Department of Energy (DOE) Guide 423.1-1, Implementation Guide For Use In Developing Technical Safety Requirements, with respect to its impact on Department nuclear safety.

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Supporting Knowledge and/or Skills

- a. Discuss the purpose of Technical Safety Requirements.
 - b. Describe the responsibilities of contractors authorized to operate defense nuclear facilities for Technical Safety Requirements.
 - c. Define the following terms and discuss the purpose of each:
 - Safety Limit
 - Limiting Control Settings
 - Limiting Conditions for Operation
 - Surveillance Requirements
 - d. Describe the general content of each of the following sections of the Technical Safety Requirements:
 - Use and Application
 - Safety Limits
 - Operating Limits
 - Surveillance Requirements
 - Administrative Controls
 - Basis
 - Design Features
 - e. Discuss the conditions that constitute a violation of the Technical Safety Requirements and state the reporting requirements should a violation occur.
 - f. Discuss the requirements for administrative control of the Technical Safety Requirements.
 - g. Discuss the possible source documents that may be used in developing Technical Safety Requirements.
 - h. Discuss the requirements for emergency actions that depart from the approved Technical Safety Requirements.
- 17. Criticality safety personnel shall demonstrate a familiarity level knowledge of the Nuclear Safety Management Rule, 10CFR830, and the associated guide DOE-STD-3009, with respect to its impact on Department nuclear safety.**

Supporting Knowledge and/or Skills

- a. Discuss the four basic purposes and objectives of Nuclear Safety Analysis Reports.
- b. Describe the responsibilities of contractors authorized to operate defense nuclear facilities for the development and maintenance of a Nuclear Safety Analysis Report.

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- c. Define the following terms and discuss the purpose of each:
 - Design Basis
 - Engineered Safety Features
 - Safety Analysis
- d. Describe the requirements for the scope and content of a Nuclear Safety Analysis Report and discuss the general content of each of the required sections of the Report.
- e. Discuss the approval requirements for the Nuclear Safety Analysis Report for new facilities and subsequent changes to the Report.
- f. Define who approves facility operations prior to achieving Safety Analysis Report upgrade approval.
- g. Discuss the requirements for the contractor to maintain the Safety Analysis Report current.

18. Criticality safety personnel shall demonstrate a working level knowledge of Department of Energy (DOE) Order 420.1, Facility Safety, with respect to its impact on Department criticality safety.

Supporting Knowledge and/or Skills

- a. Discuss the purpose and policy associated with DOE Order 420.1, Facility Safety.
- b. Define the following terms associated with nuclear criticality safety:
 - Criticality incident
 - Double contingency principle
 - Geometry control
 - Nuclear criticality safety
 - Significant quantity of fissionable material
 - Temporary exemption
 - Requirements for CAAS
- c. Discuss the Management and Operating (M&O) Contractor responsibilities for to the following in relation to criticality safety activities:
 - Criticality safety evaluations
 - Monitoring
 - Surveillance
 - Transportation
 - Storage

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- d. Discuss the role of Department criticality safety personnel with respect to the implementation of the requirements of DOE Order 420.1, Nuclear Criticality Safety.

19. **Criticality safety personnel shall demonstrate a familiarity knowledge of the Criticality safety-related requirements contained in Department of Energy (DOE) Order 6430.1A, General Design Criteria. If DOE Order 420.1, Facility Safety, has superseded 6430.1A in the facility contract, replace information as applicable.**

Supporting Knowledge and/or Skills

- a. Discuss the purpose, scope, and applicability of DOE Order 6430.1A, General Design Criteria.
- b. Discuss the Department policy and objectives with respect to safety-class criteria.
- c. Discuss the Responsibilities and Authorities section of DOE Order 6430.1A, General Design Criteria, with respect to implementation.
- d. Discuss the content of the General Requirements section of Division 13 - Special Facilities of DOE Order 6430.1A, General Design Criteria.

20. **Criticality safety personnel shall demonstrate a familiarity knowledge of Department of Energy (DOE) Order 5480.31/425.1, Start-up and Restart of Nuclear Facilities, with respect to nuclear safety issues.**

Supporting Knowledge and/or Skills

- a. Discuss the purpose, scope, and applicability sections of the DOE Order.
- b. Discuss the content of the requirements section of the DOE Order.
- c. Discuss the Responsibilities and Authorities section of the DOE Order, with respect to implementation.
- d. Define the following terms as they relate to DOE Order 5480.31/425.1, Start-up and Restart of Nuclear Facilities, and nuclear safety:
 - Facility shutdown
 - Operational readiness review
 - Operational readiness review implementation plan
 - Operational readiness review scope
 - Plan-of-action
 - Prestart finding
 - Readiness assessment
 - Unplanned shutdown

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- e. Discuss Management and Operating Contractor responsibilities for implementing DOE Order 5480.31, Start-up and Restart of Nuclear Facilities.
- f. Discuss the role of Department criticality safety personnel in implementing the requirements of DOE Order 5480.31/425.1.

21. Criticality safety personnel shall demonstrate a working level knowledge of the following criticality safety-related American National Standards Institute/American Nuclear Society (ANSI/ANS) standards:

- ANSI/ANS-8.1, Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors.
- ANSI/ANS-8.3, (ANSI N-16.2), Criticality Accident Alarm System
- ANSI/ANS-8.5, (ANSI N-16.4), Use of Borosilicate-Glass Raschig Rings as a Neutron Absorber in Solutions of Fissile Material
- ANSI/ANS-8.7, Guide for Nuclear Criticality Safety in the Storage of Fissile Materials.
- ANSI/ANS-8.15, Nuclear Criticality Control of Special Actinide Elements
- ANSI/ANS-8.19, Administrative Practices for Nuclear Criticality Safety
- ANSI/ANS-8.20, Nuclear Criticality Safety Training
- ANSI/ANS-8.21, Use of Fixed Neutron Absorbers in Nuclear Facilities Outside Reactors
- ANSI/ANS-8.22, Nuclear Criticality Safety Based on Limiting and Controlling Moderators
- ANSI/ANS-8.23, Nuclear Criticality Accident Emergency Planning and Response
- ANSI/ANS-13.3, Dosimetry for Criticality Accidents

Supporting Knowledge and/or Skills

- a. Describe the contents, requirements, and relationship among the above American National Standards Institute/American Nuclear Society Standards.
- b. Discuss the applicability of the above American National Standards Institute/American Nuclear Society Standards to the Department facilities and processes.
- c. Discuss the role of the Department criticality safety personnel in implementing the requirements of these Standards.

22. Criticality safety personnel shall demonstrate a familiarity level knowledge of the following Department of Energy (DOE) Orders, Technical Standards, and Notice:

- DOE Order 2300.1B, Audit Resolution and Follow-up
- DOE Order 2321.1B, Auditing of Programs and Operations
- DOE Order 5400.5, Radiation Protection of the Public and Environment
- DOE Order 5480.1B, Environment, Safety and Health Program for DOE Operations
- DOE Order 5480.11, Radiation Protection for Occupational Workers

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- DOE Order 5660.1, Management of Nuclear Materials
- DOE Order 5633.3, Control and Accountability of Nuclear Materials
- DOE Policy 450.4, Safety Management System Policy
- DOE Guide 450.4, Integrated Safety Management System Guide
- DOE Policy 450.5, Line Environment, Safety and Health Oversight
- DOE-STD-3006-93, Planning and Conduct of Operational Readiness Reviews
- DOE-STD-1027-92, Hazard Categorization and Accident Analysis Techniques for Compliance with DOE Order 5480.23, Nuclear Safety Analysis Reports
- DOE-STD-3011-94, Guidance for Preparation of DOE Order 5480.22 (TSR) and DOE Order 5480.23 (SAR) Implementation Plans
- Secretary of Energy Notice (SEN) SEN-35-91, Nuclear Safety Policy
- DOE-STD-3009-94, Preparation Guide for U.S. Department of Energy Nonreactor Nuclear Facility Safety Analysis Reports.
- DOE-HDBK-3010-94, Airborne Release Fractions/Rates and Respirable Fractions for Nonreactor Nuclear Facilities
- Reg Guide 3.71, Nuclear Criticality Safety Standards for Fuels and Material Facilities

Supporting Knowledge and/or Skills

- a. Describe the contents, requirements, and relationship between the above Orders, Technical Standards, and Secretary of Energy Notice.
- b. Describe the role of criticality safety personnel with respect to the requirements in these Orders, Standards, and Secretary of Energy Notice.

23. Criticality safety personnel shall demonstrate a familiarity knowledge of the Price-Anderson Amendment Act of 1988 and its impact on Department of Energy criticality safety activities.

Supporting Knowledge and/or Skills

- a. Describe the purpose and scope of the Price-Anderson Amendment Act.
- b. Discuss the Act's applicability to the Department criticality safety activities.
- c. Discuss the civil and criminal penalties imposed on the Department, Management and Operating Contractors, and Subcontractors as the result of a violation of applicable rules and regulations related to criticality safety.
- d. Discuss the requirements associated with the topics below, as they are affected by Rule-making aspect of the Price-Anderson Amendment Act:
 - Safety Analysis Reports
 - Unreviewed Safety Questions
 - Quality Assurance Requirements
 - Defect Identification and Reporting

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- Conduct of Operations at DOE Nuclear Facilities
 - Technical Safety Requirements
 - Training and Certification
 - Maintenance Management
 - Categorization, Notification, Reporting, and Processing of Operational Occurrences at DOE Nuclear Facilities
- e. Discuss the role of Department Criticality safety personnel with respect to implementing the requirements of the Price-Anderson Amendment Act in accordance with the following:
- 10 CFR 820, Procedural Rules for DOE Nuclear Activities
 - 10 CFR 830, Nuclear Safety Management
 - 10 CFR 835, Occupational Radiation Protection
 - DOE STD-1082, Preparation, Review, and Approve of Implementation Plans for Nuclear Safety Requirements
 - DOE STD-1083, Requesting and Granting Exemptions to Nuclear Safety Rules
 - Office of Enforcement and Investigation procedure "Enforcement of DOE Nuclear Safety Requirements under Price-Anderson Amendments Act of 1988"
 - Office of Enforcement and Investigation procedure "Identifying, Reporting, and Tracking Nuclear Safety Noncompliance under Price-Anderson Amendments Act of 1988"
- 24. Criticality safety personnel shall demonstrate a working level knowledge of the requirements in Department of Energy (DOE) Technical Standard DOE-STD-3007-93, Guidelines for Preparing Criticality Safety Evaluations at DOE Non-Reactor Nuclear Facilities.**

Supporting Knowledge and/or Skills

- a. Describe the documentation requirements for a criticality safety evaluation conforming to this standard.
- b. Discuss the role of this standard in establishing appropriate analytical techniques for criticality safety evaluations.
- c. Discuss the relationship between DOE-STD-3007-93, Guidelines for Preparing Criticality Safety Evaluations at DOE Non-Reactor Nuclear Facilities, and DOE Order 420.1, Facility Safety.
- 25. Criticality safety personnel shall demonstrate a familiarity knowledge of the following criticality safety-related American National Standards Institute/American Nuclear Society (ANSI/ANS) standards:**
- ANSI/ANS-8.6, Safety in Conducting Subcritical Neutron-Multiplication Measurements In Situ

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- ANSI/ANS-8.9, Nuclear Criticality Safety Criteria for Steel-Pipe Intersections Containing Aqueous Solutions of Fissile Materials
- ANSI/ANS-8.10, Criteria for Nuclear Criticality Safety Controls in Operations With Shielding and Confinement
- ANSI/ANS-8.12, Nuclear Criticality Control and Safety of Plutonium-Uranium Fuel Mixtures Outside Reactors
- ANSI/ANS-8.17, Criticality Safety Criteria for the Handling, Storage and Transportation of LWR Fuel Outside Reactors

- 26. Criticality safety system personnel shall demonstrate a familiarity level knowledge of communications (both oral and written) when working or interacting with the contractor, stakeholders, and other internal and external organizations.**

Supporting Knowledge and/or Skills

- a. Identify the various internal and external groups with whom criticality safety personnel must interface in the performance of their duties.
- b. Describe the media that may be utilized to communicate with these groups.

- 27. Criticality safety personnel shall demonstrate a familiarity level knowledge of nuclear safety-related data and information management requirements in accordance with the requirements of the following Department of Energy (DOE) Orders:**

- DOE Order 1324.2B, Records Disposition
- DOE Order 5700.6C, Quality Assurance
- DOE Order 1430.1D, Scientific and Technical Information Management

Supporting Knowledge and/or Skills

- a. Describe the Authorized Disposition Requirements for criticality safety-related records in DOE Order 1324.2B, Records Disposition.
- b. Describe the requirements for documents and records in DOE Order 5700.6C, Quality Assurance; Criterion Four, Documents and Records.
- c. Describe the purpose, scope, contents, and requirements in these Orders.
- d. Discuss the applicability of the above Orders to the Department Criticality safety activities and processes.
- e. Discuss the role of the Department Criticality safety personnel in implementing the requirements of these Orders.

- 28. Criticality safety personnel shall demonstrate a familiarity level knowledge of the following Department of Energy (DOE) safeguards, security, and nuclear material accountability Orders for nuclear safety-related issues:**

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- DOE Order 5610.13, Joint Department of Energy/Department of Defense Nuclear Weapons System Safety, Security, and Control Activities
- DOE Order 5630.11A, Safeguards and Security
- DOE Order 5630.12A, Safeguards and Security Inspection and Assessment Program
- DOE Order 5632.1C, Protection and Control of Safeguards and Security Interests
- DOE Order 5632.2B, Control and Accountability of Nuclear Materials
- DOE Order 5634.1B, Facility Approvals, Security Surveys, and Nuclear Materials Surveys
- DOE Order 5635.4, Protection of Unclassified Controlled Nuclear Information
- DOE Order 5660.1B, Management of Nuclear Materials

Supporting Knowledge and/or Skills

- a. Describe the purpose, scope, contents, and requirements of these Orders.
- b. Discuss the applicability of the above Orders to the Department criticality safety activities and processes.
- c. Discuss the role of the Department criticality safety personnel in implementing the requirements of these Orders.

- 29. Criticality safety personnel shall demonstrate a working level knowledge of assessment techniques (such as the planning and use of observations, interviews, and document reviews) to assess facility performance, report results of assessments, and follow up on actions taken as the result of assessments.**

Supporting Knowledge and/or Skills

- a. Describe the role of criticality safety systems personnel in the assessment of Government Owned Contractor Operated (GOCO) facilities.
- b. Describe the assessment requirements and limitations associated with the interface with contractor employees.
- c. Discuss the essential elements of a performance-based assessment including:
 - Investigation
 - Fact finding
 - Exit interview
 - Reporting
 - Follow-up
 - Closure
- d. Describe the following assessment methods and the advantages or limitations of each method:

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- Document review
 - Observation
 - Interview
- e. Describe the action to be taken if the contractor challenges the assessment findings and explain how such challenges can be avoided.

30. Criticality safety personnel shall demonstrate a working level knowledge of the Department of Energy (DOE)/facility contract provisions necessary to provide oversight of a contractor's operations.

Supporting Knowledge and/or Skills

- a. Describe the role of criticality safety personnel in contractor oversight.
- b. Compare and contrast the following:
- Department of Energy's expectations of a Management and Operating (M&O) contractor
 - A Management and Operating contractor's expectations of the Department of Energy
- c. Identify the key elements and features of an effective Department of Energy and Management and Operating contractor relationship.
- d. Describe the responsibility criticality safety personnel have associated with contractor compliance under the Price-Anderson Amendments Act.
- e. Describe the role of criticality safety personnel in the performance measure process.
- f. Explain the responsibilities of criticality safety personnel for DOE Order 5480.29, Employee Concerns, and the identification, reporting, reviewing, and documentation of employee concerns.

31. Criticality safety personnel shall demonstrate a familiarity knowledge of problem analysis principles and the techniques necessary to identify Department problems, potential causes, and corrective action(s) associated with criticality safety issues.

Supporting Knowledge and/or Skills

- a. Describe and explain the application of problem analysis techniques including the following:
- Root cause analysis
 - Causal factor analysis
 - Change analysis

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- Barrier analysis
 - Management oversight risk tree analysis
- b. Describe the following types of investigations and discuss an example of the application of each:
- Type A
 - Type B
 - Type C
- c. Compare and contrast immediate, short term, and long term actions taken as the result of a problem identification or an occurrence.
- d. Given event and/or occurrence data, apply problem analysis techniques and identify the problems and how they might have been avoided.
- e. Describe various data gathering techniques and the use of trending/history when analyzing problems

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APPENDIX A

CONTINUING TRAINING, EDUCATION AND PROFICIENCY

Continuing education, training and other opportunities are available for DOE personnel after completion of the competency requirements in this technical Functional Area Qualification Standard. It is extremely important that personnel involved with this program maintain their proficiency through continuing education, training, reading, or other activities such as workshops, seminars, and conferences. The list of suggested activities was developed by the Subject Matter Experts involved in the development of the Functional Area Qualification Standard and is not all-inclusive.

LIST OF CONTINUING EDUCATION, TRAINING AND OTHER ACTIVITIES

Criticality safety personnel shall participate in an Office/Facility-specific continuing training and qualification program that includes the following elements:

1. Continuing technical education and/or training covering topics directly related to the criticality safety area as determined appropriate by management. This may include courses/training provided by Department of Energy, other government agencies, outside vendors, or local educational institutions. Continuing training topics should also address identified weaknesses in the knowledge or skills of the individual personnel.
2. Actively perform the duties of a criticality safety engineer at a Department of Energy facility a minimum of 24 hours per year.
3. Specific continuing training requirements shall be documented in Individual Development Plans.

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CONCLUDING MATERIAL

Review Activity:

DOE

NNSA-DP

EH

EM

NE

SC

RW

Area/Site Offices:

Amarillo Site Office

Argonne Area Office

Brookhaven Area Office

Fermi Area Office

Kirtland Site Office

Los Alamos Site Office

Princeton Area Office

Y-12 Site Office

Field and Operations Offices

AL Service Center

CH

ID

NSO

OH

ORP

RF

RL

SR

Preparing Activity:

DOE EH-21

Project Number:

TRNG-0035